



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

41A  
6-14-02  
C. Williams

In re Application of:

JEFF S. EDER

Serial No. 09/686,983

Filed: October 17, 2000

For: AN AUTOMATED RISK TRANSFER  
SYSTEM

Examiner:

Art Unit: 2161

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PRELIMINARY AMENDMENT

Assistant Commissioner for Patents  
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Washington, DC 20231

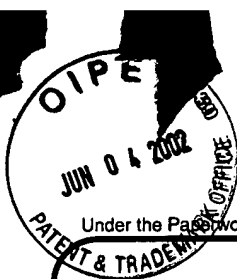
Sir:

Prior to examination of the above-referenced application, the Applicant respectfully requests the Examiner to enter the following amendments.

IN THE SPECIFICATION

On page 1, before the first paragraph, please change "CROSS REFERENCE TO RELATED PATENT" to -- CROSS REFERENCE TO RELATED APPLICATIONS AND PATENT --.

On page 1, first line of first paragraph, please change "The subject matter of this application is also related to" to -- The subject matter of this application is related to application 08/539,020 filed October 3, 1995, now abandoned, application 08/779,109 filed January 6, 1997, application 08,999,245 filed December 10, 1997, application 09/135,983 filed August 17, 1998, application 09/293,336 filed April 16, 1999, application 09/295,337 filed April 21, 1999, application 09/358,969 filed July 22, 1999, application 09/421,553 filed October 20, 1999, application 09/678,019 filed October 4 2000, application 09/680,958 filed October 2, 2000 and is also related to --.



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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 09/688,983

Filing Date 10/17/2000

First Named Inventor Jeff Eder

Group Art Unit 2161

Examiner Name

Total Number of Pages in This Submission 13

Attorney Docket Number

Technology Center 2100

## ENCLOSURES (check all that apply)

- ☐ Fee Transmittal Form
- ☐ Fee Attached
- ☐ Amendment / Reply
- ☐ After Final
- ☐ Affidavits/declaration(s)
- ☐ Extension of Time Request
- ☐ Express Abandonment Request
- ☐ Information Disclosure Statement
- ☐ Certified Copy of Priority Document(s)
- ☐ Response to Missing Parts/ Incomplete Application
- ☐ Response to Missing Parts under 37 CFR 1.52 or 1.53

- ☐ Assignment Papers (for an Application)
- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_

- ☐ After Allowance Communication to Group
- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter

☒ Other Enclosure(s) (please identify below):

Preliminary amendment  
Return receipt postcard

Remarks

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## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Jeff Eder

Signature

Date

05/30/2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date:

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